

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.  
19339-109816In Re Application Of: **Watson et al.**

Application No.

Filing Date

Examiner

Customer No.

Group Art Unit

Confirmation No.

**10/591685****HEREWITH****28886**

Title:

**WINDOW REGULATOR**

Address to:

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450****37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).

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HEREWITH

28886

Title:

**WINDOW REGULATOR****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-1759 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on \_\_\_\_\_.

(Date)

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

Signature

Dated: September 5, 2006

Robin W. Asher, Reg. No. 41,590  
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CC:

10/591685

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AP20 Rec'd PCT/PTO 05 SEP 2006

**Group Art Unit:**

**Applicant:** Watson et al.

**Serial No:**

**Filing Date:** HEREWITH

**Title:** WINDOW REGULATOR

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop PCT  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This statement and Form PTO-1449 are submitted pursuant to the provisions of 37 CFR 1.97 and 1.98(a) as a means of complying with the requirements of 37 CFR 1.56 with respect to the above-captioned patent application.

If the Examiner has any questions regarding this Information Disclosure Statement or patent application, the Examiner is invited to contact the undersigned.

Respectfully submitted,

By: 

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Dated: 9/5/06

Attorney Docket No: 19339-109816

Receipt date: 09/05/2006

ATTY DOCKET NO.

19339-109816

107591685

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

AP20 Rec'd PCT/PTO 05 SEP 2006

FILING DATE

HEREWITH

GROUP ART UNIT

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,256,929	7/10/2001	Serrano et al.			
	6,159,966	9/13/2000	Shibata et al.			
	6,584,731	7/1/2003	Aquenvaux et al.			
	5,778,600	7/14/1998	Chu			
	5,505,022	4/9/1996	Shibata et al.			

## U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			International Search Report & Written Opinion for PCT/CA2005/000289 dated May 18, 2005.
			International Preliminary Examination Report for PCT/CA2005/000289 dated November 18, 2005.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Receipt date: 09/05/2006

ATTY DOCKET NO.

19339-109816

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## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Watson et al.

FILING

HEREWITH

GROUP ART

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,375,375	12/27/1994	Lee			

## U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

/Jerry Redman/

DATE CONSIDERED

03/27/2009

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.